The ligamentous concept

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Introduction

Lesions of the posterior arch (posterior ligaments and facet joints) have long been considered an important source of low back pain. However, the classic work of Mixter and Barr in 1934, which focused attention on the disc, overshadowed the importance of posterior ligamentous lesions. Disc lesions must be considered to be the main source of backache and sciatica but ligamentous problems remain a possible basis for lumbar symptoms. In lesions of the lumbar spine, discs take the strain first but it is obvious that any change in height and mechanical properties of the disc will also influence the posterior ligamentous structures. There is anatomical evidence for free nerve endings in the posterior ligaments and the capsule of the facet joint.\(^1\)\(^-\)\(^3\) In addition, a number of investigations have shown that low back pain can be produced by direct stimulation of facet joints and ligaments.\(^1\)\(^-\)\(^6\)

Although ligamentous pain is difficult to prove by technical investigations, it is possible to identify it on clinical grounds.\(^7\)\(^-\)\(^10\) The ultimate proof of a posterior arch lesion is the improvement of pain and dysfunction after diagnostic infiltration with local anaesthesia.

The structures discussed in this chapter are the supra- and interspinous ligaments, the facet joints, and the intertransverse and the iliolumbar ligaments. The behaviour of the sacroiliac, sacrospinal and sacrotuberous ligaments shows some similarity to that of the lumbar ligaments; however, their disorders and treatment are detailed in Chapter 43.

Mechanism of ligamentous pain

Most of the stabilizing support for the lumbar spine in standing, sitting and flexion–extension is determined by the tension of the ligaments rather than the strength of the paravertebral muscles (Wyke\(^11\): Ch. 11; Stokes and Frymoyer\(^12\)). Postural strain, therefore, will affect the nociceptors in the capsules of the facet joints and the ligaments of the posterior arch, which happens when prolonged or increased postural pressure falls on normal tissues, or when abnormal (traumatized, inflamed or deformed) ligaments are subjected to normal postural stress. The first – prolonged or increased static loading of normal and healthy ligamentous tissues – is the ‘postural’ syndrome. The second – symptoms arising from abnormal (degenerated or inflamed) tissues subjected to normal mechanical stress – is the ‘dysfunction’ syndrome.

Postural syndrome

Pathological changes in the structures responsible for the pain are not present. Only the maintenance of a stress on a normal tissue creates this type of pain – an example of what has been termed ‘the bent finger’ syndrome; if a finger is bent backwards, sooner or later pain will appear.\(^3\) If sufficient force is applied for long enough, mechanical deformation of the sensitive structures in the involved tissues induces pain. It is this lumbar aching that everyone experiences when a particular posture is maintained for a long period. Pain increases in intensity the longer the time spent in the position, but the moment another position is adopted the pain will gradually disappear (Box 34.1).
Dysfunction syndrome

Although, in the postural syndrome, the structures are normal and pain is initially produced by subjecting these structures to increased mechanical stresses, it is not unlikely that, sooner or later, damage to the tissues involved will follow. The ligaments can become elongated or inflamed, which results in pain of chemical origin and also in structural changes. In this new situation, pain will be provoked by stress on structures which have become pathological. It is this progression which produces the dysfunction syndrome, defined as lumbar pain resulting from normal mechanical stress on pathological ligaments (Box 34.2).

Postural syndrome

Postural pain appears when normal ligaments are subjected to abnormal mechanical stresses. This happens with inappropriate spinal loading – poor sitting or prolonged bent positions. Alternatively, abnormal mechanical stresses can originate when, as a result of decreasing intervertebral height, too great a load is applied posteriorly to the spine, a situation which can occur during a particular period in the ageing spine. The loss of turgor in the disc and the decrease in intervertebral space will first allow the posterior ligaments to become lax, causing some postural strain. Further diminution in disc height results in structural changes. At the posterior facets, the joint surfaces override and simulate hyperextension (Fig. 34.1). In this position, considerably more weight falls on the facet joints and the posterior capsule becomes overstretched. Two types of fibre orientation have been described in the capsular fibres of the facet joint. Type I capsules have the fibres running diagonally from lateral-caudal to medial-cranial; in type II, the direction is horizontal between the points of insertion on the lower and upper articular processes. Especially in type II, axial loading of the spine results in considerable stretching of the fibres as the upper articular process slides downwards over the lower. Pain may then result.

A similar mechanism probably also accounts for ligamentous pain following disc excision and could also explain back pain after chemonucleolysis, which causes a sudden decrease in disc height. 14

Postural pain in the ageing spine

Postural pain typically occurs in young patients (30 years of age or less). During this period, and because of the onset of the decrease in disc height, some instability and laxity of the posterior segment may occur. Then an unfavourable position imposes a high and continuous axial load on the spine, so stretching the ligaments and the capsules of the facet joints. In addition, continuing dehydration of the disc causes further

Box 34.1

Characteristics of postural syndrome1–3

- Intermittent pain, induced by the maintenance of positions for a prolonged time and abolished by posture correction or movement
- Time factor is important in differentiation from dysfunction pain; some time must pass before pain becomes apparent: the longer the posture is held, the greater the pain
- Spinal movements are thus full-range and painless: the stress applied during lumbar tests is not maintained long enough to induce pain

Box 34.2

Characteristics of dysfunction syndrome

- Intermittent pain, immediately produced during a particular position and abolished when the stress is released
- Some lumbar movements are painful at the end of range

Fig 34.1 • Decrease in intervertebral space may result in stretching of the facet joint capsule. Normal (a) and decreased (b) intervertebral space.
narrowing of the intervertebral space, which in turn adds more tension in the ligaments.

Continuing degeneration produces a stiff spine because of periarticular fibrosis and enlargement of the facets. As a result, postural pain normally disappears as these changes advance after middle age (see Fig. 34.3 below).

**History**

The localization of the pathological changes in the posterior part of the lumbar spine means that dural symptoms are absent.

The patient is typically young and female, and has diffuse backache, with bilateral radiation over the iliac crests and the sacroiliac joints (Fig. 34.2); pain is never referred below the upper buttocks. When postural pain originates from the sacroiliac ligaments, however, pain reference in the S1 and S2 dermatomes can be encountered (see Ch. 43).

The ache usually starts after being in one position for a considerable length of time – sitting or standing – and the intensity of the pain and the duration of the position are related. Barbor8 described postural ligamentous pain as the ‘theatre, cocktail party’ syndrome, because these are characteristic examples of prolonged sitting or standing which produce low back pain. Lying down – for instance, prone – often leads to increased pain, and walking can be painful, especially if the patient is merely strolling slowly. In contrast and surprisingly, to someone who is not familiar with the syndrome, the patient states that during activity and sports he or she is absolutely pain-free. Postural pain (Fig. 34.3) is, as its name implies, a result of *positions not movements*.

**Clinical examination**

The clinical examination is found to be quite normal: there is a full range of movement without the slightest pain. Dural and nerve root signs are absent.

![Fig 34.2 • Pain localization in lumbar postural syndrome.](image)

**Differential diagnosis**

A patient seeking help for a lumbar problem, who presents with a clear and detailed history but has no signs at all on clinical examination, must be considered to have a genuine lesion and not a psychogenic disorder. The differential diagnosis is then between a postural lumbar syndrome, a bruised dura mater, a self-reducing disc protrusion or visceral pain referred to the back. In all these instances the clinical examination is of no help and differentiation relies entirely on the history. In a bruised dura or referred visceral pain, the pain is independent of position. A bruised dura mater can be confirmed by epidural injection. If visceral disease is suspected, appropriate investigations should be made. A self-reducing disc is not always easy to differentiate from postural pain but, because the treatment is the same (sclerosing injections), the question is theoretical.

**Treatment**

Classically, self-treatment and especially prophylaxis are recommended. The patient should be informed about the pain mechanism and taught how to avoid constant static postures. If prolonged sitting is unavoidable, attention should be directed to a proper posture and good choice of furniture. Standing should involve regular movement of the body weight from one leg to the other. All such information and training can be given

![Fig 34.3 • The ligamentous concept in the ageing of the spine.](image)
during a ‘back school’ programme (see p. 588). Contrary to general belief,\textsuperscript{13} it is unnecessary to give patients with postural back pain a programme of strengthening exercises. Strong muscles will not prevent pain provoked by static mechanical stresses.

Though these prophylactic measures should always be taken, it is our personal experience that the results are poor and that only a few patients improve. Most patients with postural backache have their condition for years. Some learn to cope with the disability, until the pain gradually disappears as the result of progressive fibrosis and sclerosis of the posterior elements. Others become chronically disabled and frustrated. For these, one excellent treatment remains – induction of artificial sclerosis in the ligamentous structures.

Chemical sclerosis was used to treat inguinal hernias between the wars and the resulting dense fibrosis of the tissues was noted by Hackett. He adapted the method for the ligamentous periosteal junctions of the posterior lumbar arch as a treatment for chronic low back pain,\textsuperscript{4} and others followed.\textsuperscript{16,17} The initial solution used was zinc sulphate and carbolic acid but a bewildering variety of other materials ensued, including various soap derivatives and psyllium seed oil. Not surprisingly, considerable side effects were experienced: three instances of paralysis\textsuperscript{18,19} and two deaths after injection into the subarachnoid space.\textsuperscript{20} Dextrose–phenol–glycerol solution, originally developed for the treatment of varicose veins, had a good safety record\textsuperscript{21} and was introduced into spinal use by Ongley in the late 1950s.\textsuperscript{10} The mixture provokes an effective inflammatory response, which leads to fibroblast proliferation and new collagen production (O. Troisier, cited by Cyriax\textsuperscript{22}: p. 339). At the periosteal junctions of the ligaments, the fibrosis results in an increase in girth of the ligaments, with contraction and subsequent pain relief. As some of the phenol is injected at or around the medial and lateral branches of the posterior ramus, a direct effect on the nerves may also occur\textsuperscript{23} and could explain the rapid relief (sometimes from the day or days after the injections) in some patients.

Over 3 consecutive weeks, 3 mL of the solution mixed with 1 mL of lidocaine 2% is infiltrated into the different lumbar ligaments at the ligamentoperiosteal junction, in the following sequence. In the first week, the injection is made at the inter-spinous and supraspinous ligaments of L4–L5 and L5–S1, and at the iliac insertions of the iliolumbar ligaments. In the second, the posterior aspects of the apophysial joints of L4 and L5 are infiltrated at both sides. In the third, injection is into the lateral aspects of the laminae of L4 and L5, where the ligamentum flavum blends with the medial aspects of the deeper layer of the lumbar fascia.

In chronic postural backache, the results of these injections are fair. In our experience, about 70% of patients suffering from a postural syndrome become pain-free after 6–8 weeks – the time required to induce sufficient sclerosis. The experience of others is similar.\textsuperscript{24} Two randomized studies have shown the effectiveness of the treatment in a group of patients suffering from chronic postural low back pain for an average period of 10 years.\textsuperscript{10,25}

Postural syndrome is summarized in Box 34.3.

### Box 34.3

#### Summary of postural syndrome

**Symptoms**
- Vague lumbar pain produced by maintenance of position
- Relationship between duration of posture and degree of pain
- Pain is abolished by change of position
- Pain is not referred below upper buttocks
- Dural symptoms are absent

**Signs**
- None: full range of movement, no pain

**Treatment**
- Self-treatment and prophylaxis: back school
- Sclerosing injections

### Posterior dysfunction syndrome

As already outlined, posterior dysfunction syndrome is the appearance of pain when posterior structures affected by pathological change are subjected to mechanical stresses.

The posterior structures involved are the facet joints, the supraspinous and interspinous ligaments, and the iliolumbar ligaments. Disordered muscles are a great rarity, easily distinguished because they are contractile. Ligamentous lesions of the sacroiliac joint will be discussed in Chapter 43.

#### Facet joints

Arthropathy of the facet joints has been regarded as an important source of low back pain for some time. In 1911, Goldthwait maintained that disease of the facet joint was the chief source of backache.\textsuperscript{26} By 1933, the term ‘facet joint syndrome’ had been introduced.\textsuperscript{27} In the 1960s and 1970s, the question as to whether back pain could arise from a facet joint problem was vigorously debated in the medical literature.\textsuperscript{28–30} Opponents of the idea use the following arguments: first, there is no sensory innervation in the synovial tissue of the articular capsule;\textsuperscript{31,32} second, the frequency of grossly disordered joints seen on random radiographs of asymptomatic patients suggests that it is unlikely that minor disorders would cause pain.\textsuperscript{33} To this end, Cyriax\textsuperscript{22} listed lesions of the facet joints known to cause no problems:

- Gross overriding of the articulating surfaces of the facet joints, occurring as the result of disc resorption in elderly people.
- Gross osteoarthritis of the facets, as seen in more than 50% of people above the age of 45\textsuperscript{34–38}; this is an age-dependent and body mass index (BMI)- and gender-independent phenomenon, most frequently observed at two caudal levels, L4–L5 and L5–S1.\textsuperscript{39}
• The angulation that occurs after a wedge fracture of the vertebral body.
• Retrolisthesis, when the inferior articular process shifts backwards on the superior articular process of the vertebra below.

During the last few decades, however, the balance of the controversy has somewhat tipped towards the conviction that facet joints can be a primary source of low back pain. First, there is an anatomical basis: in contrast to the insensitive synovia, the capsule of the facet joint is richly innervated by nociceptors which become activated when the capsule is stretched or pinched. In both pain patients and volunteers, chemical or mechanical stimulation of the facet joints and their nerve supply has been shown to elicit back and/or leg pain.

During spine surgery performed under local anaesthesia, lumbar facet capsule stimulation elicits significant pain in approximately 20% of patients. Finally, in a substantial percentage of patients with chronic lower back pain, there is a considerable degree of pain relief after diagnostic injections of the joints with local anaesthetic. However, some studies have demonstrated extravasation into the epidural space following rupture of the joint capsule if large volumes of anaesthetic agent are used. This may result in an unintentional epidural block, explaining the good diagnostic results.

Potential causes of the ‘facet joint syndrome’

Each facet joint receives dual innervation from medial branches arising from the posterior primary rami at the same level and one level above. However, free nerve endings have been found in the capsule only and not in the articular cartilage or the synovial tissue.

Inflammation could produce pain, as happens during a traumatic arthritis, and it has also been suggested that pain is caused by impingement of a synovial fold between the opposing facets. Also, inflammatory arthritides, such as rheumatoid arthritis, ankylosing spondylitis and reactive arthritis, may affect the facet joints. It is not known if advanced osteoarthritis as such could be the source of a facet syndrome but it is probable that reduced spinal mobility can sometimes predispose to a sprain of the fibrous capsule. In degenerative spondylolisthesis, a disorder that causes the whole upper vertebra, including the neural arch and processes, to slip relative to the lower vertebra, the capsules of the facet joints come under permanent traction. This may account for the increased incidence of backache seen in patients with degenerative spondylolisthesis. A sprain may also follow excessive strain directly on the posterior arch, which sometimes happens as the result of an unintentional twist, usually in extension. Extension of the lumbar spine may be limited by impaction of the inferior articular process on the lamina below. If that happens on one side only, continued application of the extension movement will force the segment towards rotation around the impacted articular process, which draws the inferior articular process of the contralateral facet joint further backwards. This may result in sprain of the capsule. A similar lesion may result from excessive rotation; rotation is usually limited by the impaction of the facet joint opposite the direction of the movement (normally, the axis of rotation is situated in the posterior third of the disc). If the torque continues, a new axis of rotation will be located in the impacted joint and the contralateral joint will be drawn backwards (Fig. 34.4). Although most post-traumatic inflammation subsides spontaneously in the course of a couple of days or weeks, it is conceivable that a chronic ligamentous sprain and lasting pain might occasionally result.

History

The patient presents after hurting his or her back during a particular movement, frequently hyperextension but alternatively hyperextension accompanied by side flexion. The pain is strictly unilateral and usually localized, sometimes with slight reference to groin, upper buttock or trochanteric area (Fig. 34.5). Because a facet joint is a lateral structure, it
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cannot give rise to central pain. However, if there is a bilateral lesion, pain can be bilateral.

There is absolutely no change in pain localization, whereas in disc lesions the movement of pain is an important diagnostic element: it can be felt on one side and move to the other, or can pass from the paravertebral to the gluteal area, or root pain can follow backache. In facet joint lesions, however, the pain is always felt at the same spot.

Dural symptoms, such as a painful cough or sneeze, are absent. Pain usually appears during extension, but standing and lying prone can also be painful. Sitting usually does not cause problems because the spine is kept in mid-position, so putting little strain on the facet joints.

Clinical examination

The patient stands straight. Usually there is a full range of movement, though extension may be slightly limited. Movement that cause pain at the end of range follow a typical, convergent pattern (Fig. 34.6): for instance, when a left facet joint is at fault, left-sided flexion and extension are painful. Exceptionally, a divergent pattern can also indicate a facet joint lesion: for a left-side lesion, flexion and side flexion to the right then provoke pain. Sometimes the pain can be provoked only by a combination of extension with side flexion.

A painful arc is always absent, as are dural signs (straight leg raising and neck flexion), typical of discodural interaction; there are no root signs. Although some believe that pain on palpation over the facet joint is one of the diagnostic features of the lesion, palpation of the paravertebral region is non-specific because the tenderness so elicited often results from referral, typical of dural pain.

Because facet joint lesions are rare, the diagnosis is never made without the confirmation of local anaesthetic injection. The technique is set out below but it is important to remember that the results of the block are not always reliable. First, only small amounts of anaesthetic (no more than 1 mL at the dorsal aspect of the capsule) must be used to avoid unintentional epidural injection, which nullifies the test. Second, numerous studies have documented that the infiltration is associated with a high false-positive rate, ranging from 25 to 40%.

Treatment

Once the diagnosis is made, treatment is infiltration of the posterior aspect of the joint capsule with 10 mg of triamcinolone. In long-standing cases, we prefer to use phenol solution, since the triamcinolone often affords only temporary relief. Others have reported good results after intra-articular injections of a mixture of lidocaine and a corticosteroid suspension, and pain relief is equally good with intra-articular and periarticular injections, indicating that the pain may be of capsular origin, rather than synovial inflammation. Good outcomes have also been described after injection with phenol aimed at denervating the joint and after radiofrequency denervation.

Surgery is occasionally performed to treat facet arthropathy despite a lack of evidence supporting fusion for degenerative spinal disorders.

A summary of facet joint lesions is given in Box 34.4.

Iliolumbar ligaments

The iliolumbar ligament arises from the tip and lower parts of the transverse process of the fifth lumbar vertebra. It splits

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**Box 34.4**

**Summary of facet joint lesions**

**Presentation**
- Localized and unilateral lumbar pain

**Clinical examination**
- Full range of movement with convergent or divergent pain
- Dural and radicular signs are absent

**Treatment**
- Infiltration of the posterior capsule with a corticosteroid suspension or phenol

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resolved but intermittent pain, appearing during particular movements or after maintaining a particular posture for a certain length of time, remains. As in facet joint lesions, the pain always remains to one or both sides and is never central. There is no history of changing or moving pain, and dural symptoms are completely absent.

Clinical examination

No deviation is visible in the standing position. There is a full range of movement. Side flexion away from the painful side can cause pain at the upper sacroiliac region or in the groin (Fig. 34.8). Sometimes there is also pain at the end of flexion or extension. There are no dural or nerve root signs.

Routine clinical examination is sometimes entirely negative and assessment of the sacroiliac joint and hip fails to reveal any abnormality. An additional provocative test can then be performed: the patient is positioned supine, and the hip is flexed to less than a right angle and adducted until the examiner reaches the ligamentous end-feel. Internal rotation together with simultaneous axial pressure on the femur will then exert a pull on the iliolumbar ligament. This test is not specific for iliolumbar lesions but will also be positive if a hip lesion is present. Care should therefore be taken to perform this test only in those cases in which an iliolumbar lesion is already suspected and other sources of sacroiliac or groin pain are excluded.

Treatment

Treatment consists of three weekly infiltrations with sclerosing solution at the insertion of the ligament at the upper aspect of the posterior superior iliac spine (see p. 582). Improvement usually starts some weeks after the infiltration and, if relief is gained, it is lasting.

Supraspinous and interspinous ligaments

In vivo measurements taken from lateral radiographs of lumbar spines showed that the interspinous distance extends up to...
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almost four times during full flexion, which could imply that the interspinous ligament is lax in the upright position and becomes taut only in the extremes of flexion. However, the orientation of the fibres in this ligament, which is obliquely from posterosuperior to anteroinferior, allows it to be active over a large range of motions during flexion. Overstretching of the ligaments is therefore very rare, and it is doubtful whether this could be a cause of backache.

Autopsy studies of a large number of subjects have shown that, in subjects over 20 years, over 20% have ruptures of one or more of their supraspinous ligaments, occurring mostly at L4–L5 and L5–S1 segments. These figures strongly suggest that ruptures of the supraspinous ligaments, defined by Newman as ‘sprung back’, rarely are, of themselves, the cause of low back pain. It is important to realize that, in disc degeneration, an intact supraspinous ligament probably plays an important role in the prevention of posterior displacements. In such circumstances, the posterior fibres of the annulus fibrosus and the posterior longitudinal ligament no longer limit forward flexion because the instantaneous axis of rotation is not at the nucleus but more posteriorly, behind the posterior longitudinal ligament (Fig. 34.9). Flexion of the spine is then controlled by the supraspinous ligament (see p. 422). Although a ‘sprung back’ is certainly not the primary cause of pain, it can add to segmental instability and recurrent disc displacements. For this reason, sclerosis of the interspinous and supraspinous ligaments is part of the treatment of recurrent disc displacements (see p. 579).

During full extension, the interspinous distances decrease to between 2 and 4 mm and the processes may meet as the bifid ligament buckles laterally on both sides. The ligament is not trapped between the processes and, if pain originates in ‘kissing spines’, it is due to irritation of the periosteum or an adventitial bursa between the abutting spinous processes.

History

There is localized and central pain (Fig. 34.10a), which started after an unintentional hyperextension strain – for instance, bending backwards during gymnastics or diving. The pain does not spread over a large area. The patient states that standing upright and backward bending cause discomfort. There are no dural symptoms.

Fig 34.9 • Axies of rotation: (a) normal segment – posterior fibres of annulus and posterior longitudinal ligament limit forward flexion; (b) degenerated disc – flexion of the spine is controlled by the supraspinous ligament. A, instantaneous axis of rotation.

Fig 34.10 • Lesions of the supra- and interspinous ligaments: (a) central pain; (b) pain on backwards bending.
Clinical examination
Extension causes local pain (Fig. 34.10b). Sometimes the end of flexion is also painful. Side flexions are usually free. The lesion is very localized and the patient often indicates the site with one finger. A tender spot, usually at the tips of two consecutive spinal processes, can be palpated. There are no dural or root signs.

Treatment
Treatment consists of local infiltration of triamcinolone, which affords good results. No recurrences need be anticipated if the lesion has resulted from a single hyperextension episode.

Access the complete reference list online at www.orthopaedicmedicineonline.com
References


